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#8 #7
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Craig Basson	Examiner:	Unknown
Serial No.:	10/027,059	Group Art Unit:	1646
Filed:	October 25, 2001	Confirmation No.:	9868
For:	TRANSCRIPTION FACTORS THAT REGULATE NORMAL AND MALIGNANT CELL GROWTH	Docket:	955-12
		Dated:	April 1, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231-0001, on

Date: April 1, 2002

Signature: Linda J. Scheurle
Linda J. Scheurle

Commissioner for Patents
Washington, DC 20231-0001

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR
PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE
AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Sir:

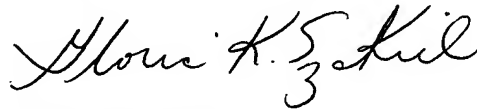
The Notice to File Missing Parts of Nonprovisional Application mailed February 1, 2002 stated that the present application failed to comply with the requirements of 37 C.F.R. §1.822-§1.825.

Applicant has submitted a corrected sequence listing in computer readable form on diskette to Box Sequence, P.O. Box 2327, Arlington, VA 22202, along with a written

sequence listing and Statement Under §1.821 (f and g). Applicant herewith submits the written sequence listing and the Statement Under §1.821 (f and g). The written copy is identical to the corrected computer readable copy submitted to Box Sequence.

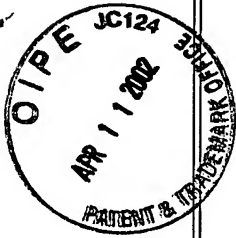
The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency or credit any overpayment to our Deposit Account No. 08-2461.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Gloria K. Szakiel".

Gloria K. Szakiel
Registration No. 45,149
Agent for Applicant

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791
(973) 331-1700



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Craig Basson Examiner: Unknown
Serial No.: 10/027,059 Group Art Unit: 1646
Filed: October 25, 2001 Confirmation No.: 9868
For: TRANSCRIPTION FACTORS THAT Docket: 955-12
 REGULATE NORMAL AND Dated: April 1, 2002
 MALIGNANT CELL GROWTH

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231-0001, on

Date: April 1, 2002
Signature: Linda J. Scheurle
Linda J. Scheurle

Commissioner for Patents
Washington, DC 202310001

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed February 1, 2002, enclosed herewith are the following:

- (1) Copy of Notice to File Missing Parts of Nonprovisional Application;
- (2) Copy of the filing receipt with changes noted thereon;
- (3) Copy of the return receipt postcard (MPEP §503);
- (4) Copy of the Express Mailing Label;
- (5) Copy of notification from shipping center of U.S. Postal Service of date of acceptance of present application in U.S. Post Office;

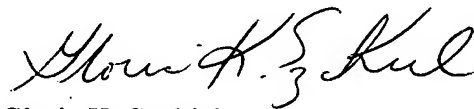
- (6) Response to Notice to Comply with Requests for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
- (7) Statement Regarding Sequence Submission;
- (8) Combined Declaration and Power of Attorney executed by the Inventor;
- (9) Check in the amount of \$65.00 representing the surcharge fee for filing a late Declaration;
- (10) Paper copy of the Sequence Listing identical to the computer readable form submitted to Box Sequence address.

Applicant notes that the Notice to File Missing Parts of the application and the filing receipt of the present application incorrectly indicate a filing date of December 25, 2001. It is noted that the present application was filed on October 25, 2001 by Express Mail as indicated by (a) the Express Mail Label dated October 25, 2001; (b) the date of the certificate of mailing on the return postcard; and (c) acknowledgement by the shipping center of the United States Postal Service that the present application with Express Mail No. ET767843869US was accepted on October 25, 2001 by the U.S. Post Office in Parsippany, New Jersey 07054.

Applicant also wishes to note that the Patent Office was closed on December 25, 2001 for the holiday. Applicant therefore requests that the filing date be corrected.

Any questions regarding this application should be directed to Applicant's undersigned agent.

Respectfully submitted,

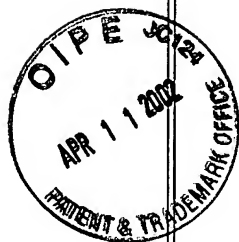


Gloria K. Szakiel
Registration No. 45,149
Agent for Applicant

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Syosset, New York 11791
(973) 331-1700

#5

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Craig Basson	Examiner:	Unknown
Serial No.:	10/027,059	Group Art Unit:	1646
Filed:	October 25, 2001	Confirmation No.:	9868
For:	TRANSCRIPTION FACTORS THAT REGULATE NORMAL AND MALIGNANT CELL GROWTH	Docket:	955-12
		Dated:	April 1, 2002

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Date: April 1, 2002

Signature: Linda J. Scheurle
Linda J. Scheurle

Commissioner for Patents
Washington, DC 20231-0001

STATEMENT REGARDING SEQUENCE SUBMISSION

Sir:

In accordance with 37 C.F.R. §1.821(f) and §1.821(g), the sequence listing information recorded in the corrected computer readable form is identical to the written sequence listing. The corrected computer readable copy and written sequence listing include no new matter.

Respectfully submitted,

Gloria K. Szakiel

Gloria K. Szakiel
Registration No. 45,149
Agent for Applicant

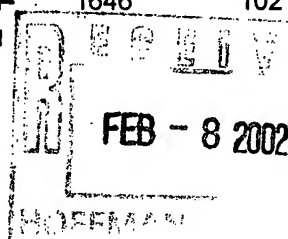
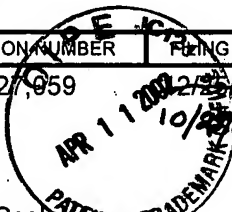
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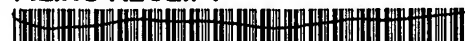
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/027,959	10/25/2001	1646	1021	955-12	3	69	8



CONFIRMATION NO. 9868

FILING RECEIPT



OC000000007407653

Daniel A. Scola
HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, NY 11791

Date Mailed: 02/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Craig Basson, New York, NY;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/243,242 10/25/2000

Foreign Applications

If Required, Foreign Filing License Granted 01/31/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

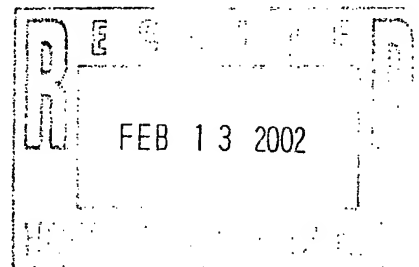
** SMALL ENTITY **

Title

Transcription factors that regulate normal and malignant cell growth

Preliminary Class

536



- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

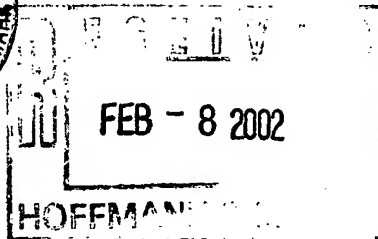
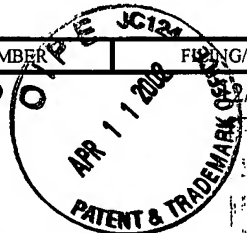
PART 2 - COPY TO BE RETURNED WITH RESPONSE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,059	02/25/2001	Craig Basson	955-12



Daniel A. Scola, Jr.
HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, NY 11791

CONFIRMATION NO. 9868

FORMALITIES LETTER



OC000000007409169

Date Mailed: 02/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

04/15/2002 SF/LENE1 00000096 10027059 65.00 DP 01 FC:205

LICENSE FOR FOREIGN FILING UNDER
Titl 35, United States Cod , Section 184
Title 37, C d of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).